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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/081,017	02/21/2002	Michael Wayne Brown	AUS920010847US1	1235
43307	7590	10/04/2004	EXAMINER	
IBM CORP (AP) C/O AMY PATTILLO P. O. BOX 161327 AUSTIN, TX 78716			BUI, BING Q	
			ART UNIT	PAPER NUMBER
			2642	

DATE MAILED: 10/04/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/081,017	Applicant(s) BROWN ET AL.	
	Examiner Bing Q Bui	Art Unit 2642	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 February 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-39 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-39 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 01 December 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>Nov 21, 2003</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-39 are pending in the application for examination.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-39 are rejected under 35 U.S.C. 102(b) as being anticipated by Farris et al (US Pat No. 6,122,327) cited by Applicant, herein after referred as Farris.

Regarding claim 1, Farris teaches a method for regulating calls, comprising:

detecting an identity of a caller to a call and an identity of a callee logged in to receive said call (see col. 11, lns 32-41 and col. 35, lns 18-40);

accessing a selection of third party criteria regulating call between said caller identity and said callee identity (see col. 11, lns 32-41 and col. 35, lns 18-40); and

regulating a communication channel between said caller and said callee according said selection of third party criteria (see col. 11, lns 32-41 and col. 35, lns 18-40).

Regarding claim 2, Farris further teaches the method for regulating calls according to claim 1, wherein detecting an identity further comprises:

authenticating said identity of said caller from a voice authentication of a voice utterance by said caller (see col. 11, lns 32-41 and col. 35, lns 18-40); and

authenticating said identity of said callee from a voice authenticated of a voice utterance by said callee (see col. 11, Ins 32-41 and col. 35, Ins 18-40).

Regarding claim 3, Farris further teaches the method for regulating calls according to claim 1, wherein accessing a selection further comprises accessing said selection of third party criteria for said caller identity (see col. 11, Ins 32-41 and col. 35, Ins 18-40).

Regarding claim 4, Farris further teaches the method regulating calls according to claim 1, wherein accessing a selection further comprises accessing said selection of third party criteria for said callee identity (see col. 11, Ins 32-41 and col. 35, Ins 18-40).

Regarding claim 5, Farris further teaches the method for regulating calls according claim 1, wherein accessing a selection further comprises accessing said selection of third party criteria by a third party with authority over said caller (see col. 11, Ins 32-41 and col. 35, Ins 18-40).

Regarding claim 6, Farris further teaches the method for regulating calls according to claim 1, wherein accessing a selection further comprises accessing said selection of third party by a third party with authority over said callee (see col. 11, Ins 32-41 and col. 35, Ins 18-40).

Regarding claim 7, Farris further teaches the method for regulating calls according to claim 1, wherein accessing a selection further comprises accessing said selection of third party criteria by a third party providing at least one line utilized for said call (see col. 11, Ins 32-41 and col. 35, Ins 18-40).

Regarding claim 8, Farris further teaches the method for regulating calls according to claim 1, wherein accessing a selection further comprises accessing said selection of third party criteria by a third party independent of any line utilized for said call (see col. 11, lns 32-41 and col. 35, lns 18-40).

Regarding claim 9, Farris further teaches the method for regulating calls according to claim 1, wherein accessing selection further comprises accessing said selection of third party criteria from a data storage system accessible outside trusted telephone network processing said call (see col. 11, lns 32-41 and col. 35, lns 18-40).

Regarding claim 10, Farris further teaches the method for regulating calls according to claim 1, wherein accessing a selection further comprises accessing said selection of third party criteria from a data storage system accessible within a trusted telephone network processing said call (see col. 11, lns 32-41 and col. 35, lns 18-40).

Regarding claim 11, Farris further teaches the method for regulating calls according to claim 1, wherein regulating said communication channel further comprises blocking said communication channel (see col. 12, ln 65-col. 13, ln 12).

Regarding claim 12, Farris further teaches the method for regulating calls according claim 1, wherein regulating said communication channel further comprises opening said communication channel (see col. 11, lns 32-41 and col. 35, lns 18-40).

Regarding claim 13, Farris further teaches the method for regulating calls according to claim 1, wherein regulating said communication channel further comprises transferring said call to screening process (see col. 11, lns 32-41 and col. 35, lns 18-40).

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As to claims 14-26, they are rejected for the same reasons set forth to rejecting claims 1-13 above, since claims 14-26 are merely a system for implementing the method defined in the method claims 1-13, respectively.

As to claims 27-39, they are rejected for the same reasons set forth to rejecting claims 1-13 above, since claims 27-39 are merely a system for implementing the method defined in the method claims 1-13, respectively.

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bing Bui whose telephone number is (703) 308-5858. The examiner can normally be reached on Monday through Thursday from 7:30 to 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ahmad Matar, can be reached on (703) 305-4731. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306 and for formal communications intended for entry (please label the response

EXPEDITED PROCEDURE) or for informal or draft communications not intended for entry (please label the response "PROPOSED" or "DRAFT").

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-4700.

Sep 29, 2004



BING Q. BUI
PRIMARY EXAMINER